



Tailoring topological states of core–shell nanoparticles

Carolina Martínez-Strasser^a, Yuriko Baba^{a,*}, Álvaro Díaz-Fernández^b,
Francisco Domínguez-Adame^a

^a GISC, Departamento de Física de Materiales, Universidad Complutense, E-28040 Madrid, Spain

^b GISC, Departamento de Estructuras y Física de Edificación, Universidad Politécnica de Madrid, E-28031 Madrid, Spain

ARTICLE INFO

Keywords:

Core–shell nanoparticles
Surface states
Topological insulator

ABSTRACT

In this work we investigate novel spherical core–shell nanoparticles with band inversion. The core and the embedding medium are normal semiconductors while the shell material is assumed to be a topological insulator. The envelope functions are found to satisfy a Dirac-like equation that can be solved in a closed form. The core–shell nanoparticle supports midgap bound states located at both interfaces due to band inversion. These states are robust since they are topologically protected. The energy spectrum presents mirror symmetry due to the chiral symmetry of the Dirac-like Hamiltonian. As a major result, we show that the thickness of the shell acts as an additional parameter for the fine tuning of the energy levels, which paves the way for electronics and optoelectronics applications.

1. Introduction

Band-inverted semiconductor heterostructures were already studied back in the 1980s and 1990s, in which the fundamental gap has opposite sign on each semiconductor. A remarkable feature is the existence of midgap interface states (see Refs. [1–6] and references therein). These states are protected by symmetry and are responsible for the conducting properties of the surface. Semiconductor materials that can present band inversion are II–VI compounds, such as $\text{Hg}_x\text{Cd}_{1-x}\text{Te}$ and IV–VI compounds, such as $\text{Pb}_x\text{Sn}_{1-x}\text{Te}$ and $\text{Pb}_{1-x}\text{Sn}_x\text{Se}$, among others. In this context, Dziawa et al. provided strong evidence on how $\text{Pb}_{1-x}\text{Sn}_x\text{Se}$ becomes a topological insulator (TI) for $x = 0.23$ at temperatures below the critical temperature, T_c , which is the temperature at which a transition between a normal state and an inverted bandgap state occurs for a Sn content of $0.18 \leq x \leq 0.3$ [7]. Similarly, there exists evidence that the Sn concentration at which the band gap of bulk $\text{Pb}_x\text{Sn}_{1-x}\text{Te}$ becomes zero varies from $x \sim 0.32$ to $x \sim 0.65$ as the temperature increases from 4 to 300 K, respectively (see Ref. [8] and references therein).

In recent years, nanoparticles based on TIs have been thoroughly investigated for their interest in photonics, optically controlled quantum memory and quantum computing [9–15]. Surface states show little sensitivity to disorder, which is beneficial for optical applications at the nanoscale [11]. Siroki et al. have found that, under the influence of light, a single electron in a topologically protected surface state creates a surface charge density, similar to a plasmon in a metallic nanoparticle [12]. Hybrid systems composed of a topological-insulator

nanoparticle and a quantum emitter dimer, interacting in the strong-coupling regime, show the emergence of a mode that stems from the coupling of the surface topological particle polariton of the topological insulator with the resonance state of the quantum emitter [15].

By contrast, core–shell nanoparticles based on TIs have received much less attention. Yue et al. reported on a novel conic plasmonic nanostructure that is made of bulk TIs and has an intrinsic core–shell formation. Through integration of the nanocone arrays into a-Si thin film solar cells, up to 15% enhancement of light absorption was predicted in the ultraviolet and visible ranges [16]. In this paper we consider a novel spherical core–shell nanoparticle, where the core is made of a normal insulator (NI) and the shell is made of a TI. The nanoparticle is embedded in the same NI of the core. Consequently, the core–shell nanoparticle present two band-inverted heterojunctions, leading to the hybridization of the surface states when the width of the shell is of the order of the spatial decay length of the states. As a major result, we show that the thickness of the shell can be used for the fine tuning of the surface energy levels and hence the electric response of the system.

2. Model Hamiltonian

The two-band model is a reliable approach to obtaining the electron states near the band edges in narrow-gap IV–VI semiconductors, for which the coupling to other bands is negligible [4,17–19]. The electron wave function is written as a sum of products of band-edge Bloch

* Corresponding author.

E-mail address: yuribaba@ucm.es (Y. Baba).

functions with slowly varying envelope functions. The corresponding envelope function $\chi(r)$ is a four-component column vector composed by the two-component spinors $\chi_+(r)$ and $\chi_-(r)$ belonging to the two bands. Electron states near the band edges are determined from the Dirac-like equation $\mathcal{H}\chi(r) = E\chi(r)$ with [4,5,20]

$$H = \hbar v \boldsymbol{\alpha} \cdot \mathbf{k} + \frac{1}{2} E_G(r) \beta + V_C(r). \quad (1)$$

Here v is an interband matrix element having dimensions of velocity, $E_G(r)$ denotes the position-dependent gap and $V_C(r)$ gives the position of the gap center. $\boldsymbol{\alpha} = (\alpha_x, \alpha_y, \alpha_z)$ and β denote the usual 4×4 Dirac matrices

$$\alpha_i = \begin{pmatrix} 0_2 & \sigma_i \\ \sigma_i & 0_2 \end{pmatrix}, \quad \beta = \begin{pmatrix} \mathbb{1}_2 & 0_2 \\ 0_2 & -\mathbb{1}_2 \end{pmatrix},$$

σ_i being the Pauli matrices ($i = x, y, z$), and $\mathbb{1}_n$ and 0_n are the $n \times n$ identity and null matrices, respectively. In order to keep the algebra as simple as possible, we restrict ourselves to the symmetric situation with same-sized and aligned gaps [$V_C(r) = 0$]. This is not a serious limitation, but the calculations are largely simplified.

In the case of spherically symmetric nanoparticles, the gap function $E_G(r)$ depends only on the distance $r = |\mathbf{r}|$ to the origin. This prompts us to introduce spherical coordinates by a separable ansatz

$$\chi(r) = \frac{1}{r} \begin{pmatrix} u_1(r) \mathcal{Q}_{\kappa,\mu}(\theta, \phi) \\ i u_2(r) \mathcal{Q}_{-\kappa,\mu}(\theta, \phi) \end{pmatrix}, \quad (2)$$

where $u_1(r)$ and $u_2(r)$ take into account the radial part and $\mathcal{Q}_{\kappa,\mu}(\theta, \phi)$ are the eigenfunctions of the angular dependent part, as defined in Ref. [21]

$$\begin{aligned} (\boldsymbol{\sigma} \cdot \mathbf{L} + \hbar) \mathcal{Q}_{\pm\kappa,\mu} &= \mp \hbar \kappa \mathcal{Q}_{\pm\kappa,\mu}, \\ J_z \mathcal{Q}_{\kappa,\mu} &= \hbar \mu \mathcal{Q}_{\kappa,\mu}. \end{aligned} \quad (3)$$

Here the total angular momentum is $\mathbf{J} = \mathbf{L} + \mathbf{S}$, $\kappa = \pm(j + 1/2) = \pm 1, \pm 2, \pm 3, \dots$, $\mu = -j, -j + 1/2, \dots, +j$, and $\ell = j \pm 1/2$. Inserting the ansatz (2) into Eq. (1) we obtain the following two coupled differential equations

$$\frac{d}{dr} \mathbf{U}(r) = \begin{bmatrix} -\frac{\kappa}{r} & \frac{\Delta(r)+E}{\hbar v} \\ \frac{\Delta(r)-E}{\hbar v} & \frac{\kappa}{r} \end{bmatrix} \mathbf{U}(r), \quad (4a)$$

with $\Delta(r) = E_G(r)/2$ being half of the position-dependent gap and

$$\mathbf{U}(r) = \begin{pmatrix} u_1(r) \\ u_2(r) \end{pmatrix}. \quad (4b)$$

3. Spherical quantum dot

For the sake of completeness, we first consider a TI quantum dot of radius R_0 embedded in a NI, as shown in Fig. 1(a) and addressed in Ref. [9] using Green's function techniques associated with the squared Hamiltonian. Regarding the materials of choice, we take $\text{Pb}_{0.57}\text{Sn}_{0.43}\text{Se}$ for the TI and $\text{Pb}_{0.67}\text{Sn}_{0.33}\text{Se}$ for the embedding NI. With this choice of materials the magnitude of both gaps are approximately the same and we can set $\Delta(r) \equiv \Delta s(r)$, with $\Delta \sim 100$ meV [7] and

$$s(r) = \begin{cases} -1, & 0 \leq r \leq R_0, \\ +1, & R_0 < r < \infty. \end{cases} \quad (5)$$

It is worth mentioning that the lattice parameter mismatch of both semiconductors is small and we can safely neglect elastic strain in the nanostructure.

We now introduce the length scale $d = \hbar v / \Delta$, the dimensionless energy $\epsilon = E / \Delta$ and the dimensionless coordinate $\xi = r / d$. Taking $\Delta = 0.1$ eV and $\hbar v = 0.34$ eV nm, the length scale turns out to be $d = 3.4$ nm. In these units, Eq. (4a) reads

$$\frac{d}{d\xi} \mathbf{U}(\xi) = \begin{bmatrix} -\kappa/\xi & s(\xi)+\epsilon \\ s(\xi)-\epsilon & \kappa/\xi \end{bmatrix} \mathbf{U}(\xi). \quad (6)$$

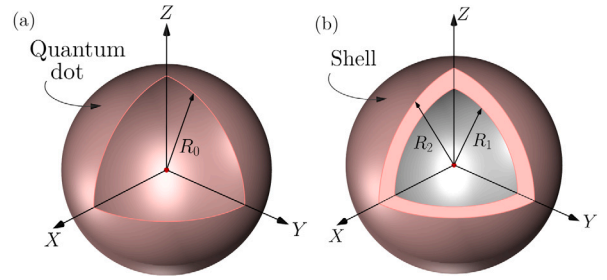


Fig. 1. Schematic representation of (a) a spherical TI quantum dot and (b) a spherical core-shell nanoparticle, where the core (shell) is made of a TI (NI). In both cases the embedding medium is a NI.

Here $s(\xi) = \text{sgn}(\xi - \xi_0)$ with $\xi_0 = R_0/d$. Since the function $s(\xi)$ is piecewise constant, we can easily solve Eq. (6) for $\xi < \xi_0$ (region I hereafter) and $\xi > \xi_0$ (region II hereafter). Our interest concerns midgap states ($|E| < \Delta$) and we then define the real parameter $\lambda = \sqrt{1 - \epsilon^2}$. The solution to Eq. (6) reads

$$\begin{aligned} u_1(\xi) &= \sqrt{\xi} \left[A_I I_{|\kappa+1/2|}(\lambda\xi) + B_I K_{|\kappa+1/2|}(\lambda\xi) \right], \\ u_2(\xi) &= \frac{s(\xi) - \epsilon}{\lambda} \sqrt{\xi} \left[A_I I_{|\kappa-1/2|}(\lambda\xi) - B_I K_{|\kappa-1/2|}(\lambda\xi) \right], \end{aligned} \quad (7)$$

where $i = \text{I, II}$, A_i and B_i are integration constants, and $I_\nu(z)$ and $K_\nu(z)$ stand for the modified Bessel functions [22]. For small arguments ($z \rightarrow 0$) we have [22]

$$\begin{aligned} I_\nu(z) &\sim \left(\frac{z}{2}\right)^\nu \frac{1}{\Gamma(\nu+1)}, \quad \nu \neq -1, -2, \dots \\ K_\nu(z) &\sim \left(\frac{z}{2}\right)^{-\nu} \frac{1}{2\Gamma(\nu)}, \quad \nu \neq 0. \end{aligned} \quad (8a)$$

Consequently, we must set $B_I = 0$ to obtain regular solutions at the origin. Similarly, the asymptotic expansion for large arguments ($z \rightarrow \infty$) reads [22]

$$\begin{aligned} I_\nu(z) &\sim \frac{1}{\sqrt{2\pi z}} e^z \left(1 - \frac{4\nu^2 - 1}{8z}\right), \\ K_\nu(z) &\sim \sqrt{\frac{\pi}{2z}} e^{-z} \left(1 + \frac{4\nu^2 - 1}{8z}\right). \end{aligned} \quad (8b)$$

Therefore, normalizability of the envelope function requires $A_{\text{II}} = 0$. Finally, the continuity of $u_1(\xi)$ and $u_2(\xi)$ at the interface $\xi = \xi_0$ between the TI and the NI leads to

$$\begin{aligned} A_I I_{|\kappa+1/2|}(\lambda\xi_0) &= B_{\text{II}} K_{|\kappa+1/2|}(\lambda\xi_0), \\ A_I (1 + \epsilon) I_{|\kappa-1/2|}(\lambda\xi_0) &= B_{\text{II}} (1 - \epsilon) K_{|\kappa-1/2|}(\lambda\xi_0), \end{aligned} \quad (9)$$

whence

$$\mathcal{F}(\epsilon, \kappa, \xi_0) = 0, \quad (10a)$$

with

$$\begin{aligned} \mathcal{F}(\epsilon, \kappa, \xi) &\equiv (1 + \epsilon) I_{|\kappa-1/2|}(\lambda\xi) K_{|\kappa+1/2|}(\lambda\xi) \\ &- (1 - \epsilon) I_{|\kappa+1/2|}(\lambda\xi) K_{|\kappa-1/2|}(\lambda\xi). \end{aligned} \quad (10b)$$

Notice that Eq. (10a) is invariant under the change $\epsilon \rightarrow -\epsilon$ and $\kappa \rightarrow -\kappa$.

It is instructive to consider two limiting cases. In the first place, when $R_0 \rightarrow \infty$ (i.e. $\xi_0 \rightarrow \infty$), we might recover the energy level of a flat interface. This is indeed the case because in this limit we can take the asymptotic expansion (8b) in Eq. (10), yielding $\epsilon \sim -\kappa/4\xi_0$. Undoing the change of variables we get $E \sim -\kappa\hbar v/4R_0$ and the energy of the topological interfaces states approaches the center of the gap on increasing R_0 , as expected [1–6]. In the second place, we realize that there exists a minimum radius R_{min} for the quantum dot to support topological interface states. On decreasing the radius of the quantum dot R_0 from infinite (flat interface) to R_{min} the energy of the interface

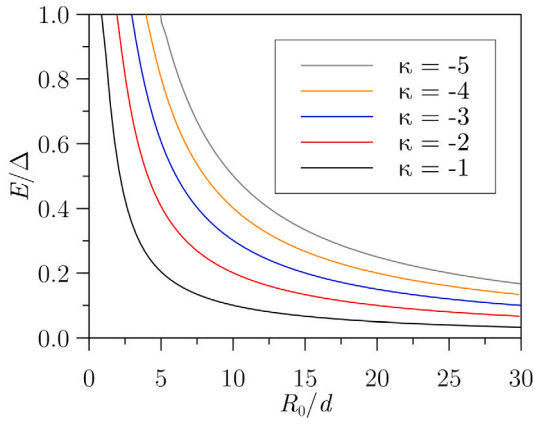


Fig. 2. Energy of the topological interface states as a function of the radius of the quantum dot for $\kappa = -(j + 1/2) < 0$.

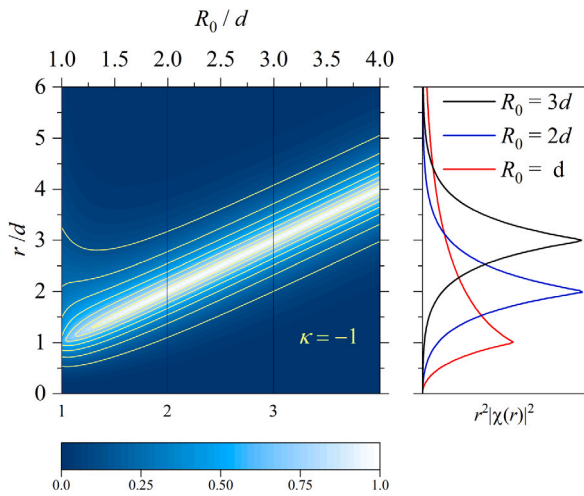


Fig. 3. Left panel shows the contour plot of the radial probability density $P(r; R_0)$ of interfaces states with $\kappa = -1$ as a function of r and R_0 . Right panel shows the radial probability density of interfaces states at a function of r for selected values of R_0 , indicated in the legend, and corresponding to the vertical lines in the left panel.

states moves away from the center of the gap ($E = 0$) towards the band edges ($|E| = \Delta$). Hence, the condition to obtain R_{\min} is $\lambda = 0$ and we can then use the approximation (8a) for small arguments in Eq. (10), yielding

$$R_{\min}(\kappa) = \sqrt{\kappa^2 - 1/4} d = \sqrt{j(j+1)} d. \quad (11)$$

Fig. 2 shows the energy of the topological interfaces states as a function of the radius of the quantum dot for $\kappa < 0$. We can obtain the energy levels for $\kappa > 0$ reversing the sign of the energy due to the invariance mentioned above. As we already anticipated, the energy levels shift upwards from the gap center when $\kappa < 0$ (and downwards for $\kappa > 0$) on decreasing the radius of the quantum dot and reaches the band edge at $R_{\min}(\kappa) \simeq |\kappa|d$, according to Eq. (11).

The radial probability density of interfaces states, $P(r; R_0) \equiv r^2 \chi^\dagger(r) \chi(r) = u_1^2(r) + u_2^2(r)$ for $\kappa = -1$ is shown in Fig. 3. When $R_0 \lesssim R_{\min}(\kappa) \simeq |\kappa|d$, the radial probability density presents a long tail outside the quantum dot (black lines in Fig. 3). However, the radial probability density becomes almost symmetric at the interface as soon as R_0 exceeds $R_{\min}(\kappa)$, approaching the results for a flat interface.

4. Spherical core-shell nanoparticle

In this section we focus on the core-shell nanoparticle shown schematically in Fig. 1(b). The inner (core) and embedding media are

assumed to be the same NI, such as $\text{Pb}_{0.67}\text{Sn}_{0.33}\text{Te}$, while the shell is a TI, such as $\text{Pb}_{0.57}\text{Sn}_{0.43}\text{Te}$. The inner and outer radii are R_1 and R_2 , respectively [see Fig. 1(b)]. Thus, the gap profile in the nanoparticle is given as $\Delta(r) \equiv \Delta s(r)$, where now

$$s(r) = \begin{cases} +1, & 0 \leq r \leq R_1, \\ -1, & R_1 < r < R_2, \\ +1, & R_2 \leq r < \infty. \end{cases} \quad (12)$$

We introduce the same dimensionless magnitudes as before and define $\xi_1 = R_1/d$ and $\xi_2 = R_2/d$. The general solution to Eq. (6) in the three regions of the nanoparticle, namely core (region I), shell (region II) and embedding medium (region III), are still given by Eq. (7) with $i = \text{I, II, III}$. Normalizability of the envelope function implies that $B_{\text{I}} = A_{\text{III}} = 0$. On the other hand, continuity of $u_1(\xi)$ and $u_2(\xi)$ at the interfaces $\xi = \xi_1$ and $\xi = \xi_2$ yields

$$\begin{aligned} A_{\text{I}} &= A_{\text{II}} + B_{\text{II}} \frac{K_{|\kappa+1/2|}(\lambda \xi_1)}{I_{|\kappa+1/2|}(\lambda \xi_1)}, \\ A_{\text{I}} &= -\frac{1+\epsilon}{1-\epsilon} \left[A_{\text{II}} - B_{\text{II}} \frac{K_{|\kappa-1/2|}(\lambda \xi_1)}{I_{|\kappa-1/2|}(\lambda \xi_1)} \right], \\ B_{\text{III}} &= B_{\text{II}} + A_{\text{II}} \frac{I_{|\kappa+1/2|}(\lambda \xi_2)}{K_{|\kappa+1/2|}(\lambda \xi_2)}, \\ B_{\text{III}} &= -\frac{1+\epsilon}{1-\epsilon} \left[B_{\text{II}} - A_{\text{II}} \frac{I_{|\kappa-1/2|}(\lambda \xi_2)}{K_{|\kappa-1/2|}(\lambda \xi_2)} \right]. \end{aligned} \quad (13)$$

Setting the determinant to vanish we get

$$\begin{aligned} \mathcal{F}(-\epsilon, \kappa, \xi_1) \mathcal{F}(\epsilon, \kappa, \xi_2) \\ + 4 I_{|\kappa+1/2|}(\lambda \xi_1) I_{|\kappa-1/2|}(\lambda \xi_1) K_{|\kappa+1/2|}(\lambda \xi_2) K_{|\kappa-1/2|}(\lambda \xi_2) = 0, \end{aligned} \quad (14)$$

where $\mathcal{F}(\epsilon, \kappa, \xi)$ is defined in Eq. (10b). As in the case of the quantum dot, the symmetry $\epsilon \rightarrow -\epsilon$ and $\kappa \rightarrow -\kappa$ is preserved as well. In the limit $\xi_1 \rightarrow 0$, the second term of Eq. (14) vanishes and $\mathcal{F}(-\epsilon, \kappa, \xi_1)$ remains finite. We then recover the results for a quantum dot of radius ξ_2 , discussed in the previous section [see Eq. (10a)].

Fig. 4 shows the energy of the topological interfaces states as a function of the outer radius R_2 for $\kappa < 0$ and inner radius $R_1 = 5d$. The energy levels for $\kappa > 0$ are obtained reversing the sign of the energy due to the symmetry mentioned above. Two set of states are observed. On the one side, energy levels above the center of the gap display the same behavior $\sim 1/R_2$ observed in quantum dots. Therefore, the corresponding states are mainly localized at the outer interface. On the other side, energy levels below the center of the gap approaches the energy levels corresponding to a quantum dot of radius $R_1 = 5d$ (see dashed lines in Fig. 4). Thus, the corresponding states are localized at the inner interface. When $\kappa > 0$, states above (below) are localized at the inner (outer) interface.

Fig. 5 corroborates the above statement regarding the spatial localization of the states. The radial probability density displays a small side peak at R_1 (R_2) for $E > 0$ ($E < 0$) in addition to the main peak at R_2 (R_1) when $R_2 - R_1 \sim d$ (see blue lines in Fig. 5). The small peak becomes unnoticeable when $R_2 - R_1 \gg d$ (see red lines in Fig. 5).

It is then clear from the previous figure that the hybridization of the interface states can be greatly tuned by modifying the radii. Indeed, when $R_2 - R_1 \gg d$, the two interfaces are essentially decoupled, leading to the behavior of two independent quantum dots, whereas they strongly couple when $R_2 - R_1 \sim d$. This is clearly observed in the fact that, as R_2 increases while keeping R_1 fixed, the smaller peak in the radial probability density vanishes, until a single peak at R_1 and R_2 remains for $E < 0$ and $E > 0$, respectively.

5. Quadrupole moment of the core-shell nanoparticle

Quantum dots and nanoparticles find a niche of applications in lasers, sensors, solar cells and single-electron transistors (see Ref. [23] and references therein). In the latter, Coulomb blockade plays a major

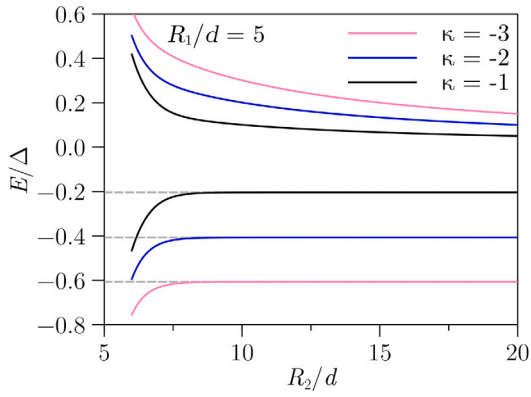


Fig. 4. Energy of the topological interface states as a function of the outer radius of the core-shell nanoparticle for $\kappa = -(j + 1/2) < 0$. The inner radius is $R_1 = 5d$ and dashed lines indicate the energy levels of a quantum dot with radius $R_0 = 5d$.

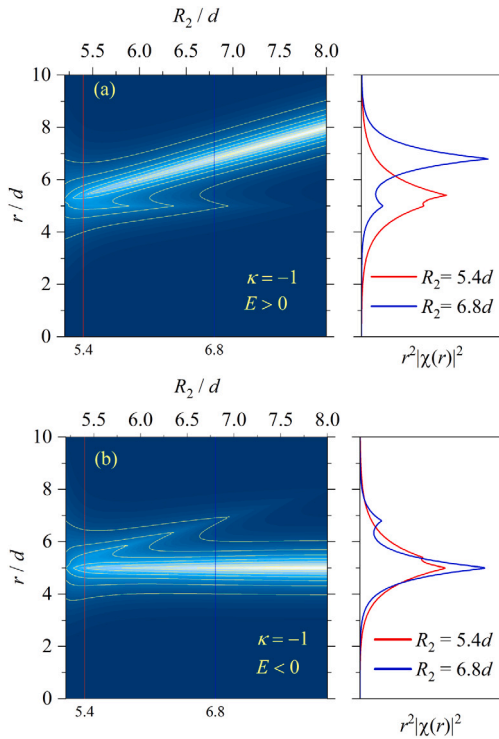


Fig. 5. Left panels show the contour plot of the radial probability density $P(r; R_2)$ of interface states with $\kappa = -1$ and $R_1 = 5d$ as a function of r for (a) $E > 0$ and (b) $E < 0$. Scale bar is the same as in Fig. 3. Right panels show the radial probability density of interface states at a function of r for selected values of R_2 , indicated in the legend, and corresponding to the vertical lines in the left panel.

role in the electrical response. Therefore, a detailed description of the electron–electron interaction is essential to properly explain the response of the device. The multipole expansion of the electrostatic potential created by a single electron inside the core-shell nanoparticle provides a direct way to determine the magnitude of the electron–electron interaction. Since the dipole field vanishes, we now focus on the quadrupole field created by an electron occupying a topological state of the core-shell nanoparticle. The components of the quadrupole tensor are given as

$$Q_{ij} = -e \int d^3r |\chi(r)|^2 (3x_i x_j - r^2 \delta_{ij}) . \quad (15)$$

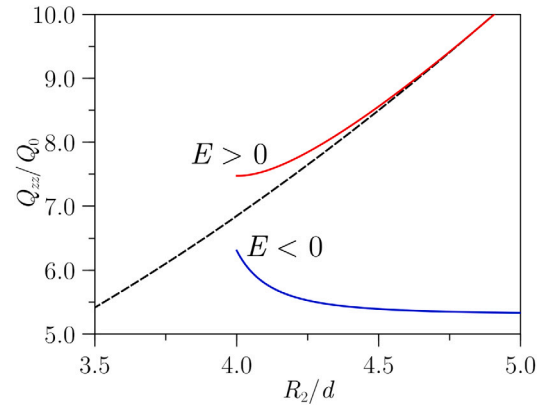


Fig. 6. Quadrupole moment Q_{zz} in units of $Q_0 = -ed^2$ of the core-shell nanoparticle with a single electron in the state with $j = 3/2$, $\mu = 1/2$ and $\kappa = -(j + 1/2) = -2$, as a function of R_2 when $R_1 = 3.5d$. Solid red and blue lines correspond to the state with positive and negative energy, respectively. For comparison, the black solid line shows the result for a quantum dot of the same radius.

We restrict ourselves to the calculation of Q_{zz} hereafter. Defining $Q_0 \equiv -ed^2$ and using Eq. (2) one gets

$$\frac{Q_{zz}}{Q_0} = \int_0^\infty d\xi \xi^2 \int d\Omega \left[u_1^2(\xi) Q_{\kappa, \mu}^\dagger(\Omega) \cdot Q_{\kappa, \mu}(\Omega) + u_2^2(\xi) Q_{-\kappa, \mu}^\dagger(\Omega) \cdot Q_{-\kappa, \mu}(\Omega) \right] (3 \cos^2 \theta - 1) , \quad (16)$$

where Ω is the solid angle. After lengthy but straightforward calculations, one can find

$$\frac{Q_{zz}}{Q_0} = \frac{j(j+1) - 3\mu^2}{2j(j+1)} \int_0^\infty d\xi \xi^2 [u_1^2(\xi) + u_2^2(\xi)] . \quad (17)$$

Fig. 6 shows Q_{zz} as a function of R_2 when $R_1 = 3.5d$ and the core-shell nanoparticle is occupied by a single electron in the state with $j = 3/2$, $\mu = 1/2$ and $\kappa = -(j + 1/2) = -2$. It is worth mentioning that we obtain the same magnitude of the quadrupole moment but opposite sign when $\mu = 3/2$. As we have already shown in Fig. 4, for a given value of κ there exist two states, one with positive energy and the other one with negative energy. In Fig. 6, the solid red (blue) line shows the result for the positive (negative) energy state. For the state with positive energy, located at the outermost interface, the quadrupole moment approaches the value obtained in a quantum dot of the same radius as soon as R_2 exceeds $R_1 + d$. On the contrary, for the state with negative energy, located in the innermost interface, the quadrupole moment is much lower and rapidly approaches the value corresponding a quantum dot of radius $R_0 = 3.5d$. This suggests that the single-electron state can be assessed by measuring the quadrupole moment.

6. Conclusions

Topological insulators at the nanoscale are envisaged to have an ever-increasing number of applications. However, a more complete understanding of the properties of these materials is needed in order to better exploit these applications. In this work, we have proposed and studied a novel spherical core-shell nanoparticle system composed of a TI shell and a NI core and embedding medium. A realistic two-band description of the nanoparticle allowed us to describe topologically protected electron states that arise at an abrupt TI/NI interface. Tchoumakov et al. studied smooth and flat TI/NI interfaces and concluded that they support multiple surface states, both massless and massive. However, massive surface states only appear when the width of the interface exceeds the decay length d of the massless states [24]. In our spherical nanostructures the width of the interface should be larger than the critical radius $R_{\min}(\kappa = 0)$ to observe non-topological

interface states. Therefore, the assumption of abrupt interfaces is reasonable. In this way we were able to exactly solve the equation for the two-component envelope function. We found that there exists a strong hybridization of the topologically protected electron states located at the innermost and outermost interfaces, provided that the separation between the two surfaces is of the order of the decay length. A similar hybridization of topological states has been found in quantum wells [25]. Since the hybridization can be controlled by selecting the thickness of the shell, we argue that it acts as an additional parameter for fine tuning the energy levels, which paves the way for electronics and optoelectronics applications.

Declaration of competing interest

Yuriko Baba reports financial support was provided by Spain Ministry of Science and Innovation. Álvaro Díaz-Fernández reports a relationship with Spain Ministry of Science and Innovation that includes: funding grants. Francisco Domínguez-Adame reports a relationship with Spain Ministry of Science and Innovation that includes: funding grants. Carolina Martínez-Strasser reports a relationship with Spain Ministry of Science and Innovation that includes: funding grants.

Acknowledgment

This work was supported by Ministerio de Ciencia e Innovación, Spain (Grant PID2019-106820RB-C21).

References

- [1] B.A. Volkov, O.A. Pankratov, Two-dimensional massless electrons in an inverted contact, *Sov. Phys.—JETP* 42 (1985) 178.
- [2] O.A. Pankratov, S.V. Pakhomov, B.A. Volkov, Supersymmetry in heterojunctions: band-inverting contact on the basis of $Pb_{1-x}Sn_xTe$ and $Hg_{1-x}Cd_xTe$, *Solid State Commun.* 61 (1987) 93.
- [3] V. Korenman, H.D. Drew, Subbands in the gap in inverted-band semiconductor quantum wells, *Phys. Rev. B* 35 (1987) 6446.
- [4] D. Agassi, V. Korenman, Interface states in band-inverted semiconductor heterojunctions, *Phys. Rev. B* 37 (1988) 10095.
- [5] O.A. Pankratov, Electronic properties of band-inverted heterojunctions: supersymmetry in narrow-gap semiconductors, *Semicond. Sci. Technol.* 5 (1990) S204.
- [6] A.V. Kolesnikov, A.P. Silin, The energy spectra of narrow-gap semiconductor heterostructures: spin splitting in the case of asymmetry, *J. Phys.: Condens. Matter* 9 (1997) 10929.
- [7] P. Dziawa, B. Kowalski, K. Dybko, R. Buczko, A. Szczerbakow, M. Szot, E. Łusakowska, T. Balasubramanian, B.M. Wojek, M. Berntsen, O. Tjernberg, T. Story, Topological crystalline insulator states in $Pb_{1-x}Sn_xSe$, *Nature Mater.* 11 (2012) 1023.
- [8] I.U. Arachchige, M.G. Kanatzidis, Anomalous band gap evolution from band inversion in $Pb_{1/2-x}Sn_xTe$ nanocrystals, *Nano Lett.* 9 (2009) 1583.
- [9] H.P. Paudel, M.N. Leuenberger, Three-dimensional topological insulator quantum dot for optically controlled quantum memory and quantum computing, *Phys. Rev. B* 88 (2013) 085316.
- [10] Y.-H. Lin, S.-F. Lin, Y.-C. Chi, C.-L. Wu, C.-H. Cheng, W.-H. Tseng, J.-H. He, C.-I. Wu, C.-K. Lee, G.-R. Lin, Using n- and p-Type Bi_2Te_3 topological insulator nanoparticles to enable controlled femtosecond mode-locking of fiber lasers, *ACS Photon.* 2 (2015) 481.
- [11] G. Siroki, P.D. Haynes, D.K.K. Lee, V. Giannini, Protection of surface states in topological nanoparticles, *Phys. Rev. Mater.* 1 (2017) 024201.
- [12] G. Siroki, D.K.K. Lee, P.D. Haynes, V. Giannini, Single-electron induced surface plasmons on a topological nanoparticle, *Nature Commun.* 7 (2016) 12375.
- [13] L. Gioia, M.G. Christie, U. Zülicke, M. Governale, A.J. Sneyd, Spherical topological insulator nanoparticles: Quantum size effects and optical transitions, *Phys. Rev. B* 100 (2019) 205417.
- [14] L.A. Castro-Enriquez, L.F. Quezada, A. Martín-Ruiz, Optical response of a topological-insulator-quantum-dot hybrid interacting with a probe electric field, *Phys. Rev. A* 102 (2020) 013720.
- [15] G.D. Chatzidakis, V. Yannopapas, Strong electromagnetic coupling in dimers of topological-insulator nanoparticles and quantum emitters, *Phys. Rev. B* 101 (2020) 165410.
- [16] Z. Yue, B. Cai, L. Wang, X. Wang, M. Gu, Intrinsically core-shell plasmonic dielectric nanostructures with ultrahigh refractive index, *Sci. Adv.* 2 (2016) e1501536.
- [17] J. Melngailis, T. Harman, W. Kernan, Shubnikov-de Hass measurements in $Pb_{1-x}Sn_xSe$, *Phys. Rev. B* 5 (1972) 2250.
- [18] H. Burkhard, G. Bauer, W. Zawadzki, Band-population effects and intraband magneto-optical properties of a many-valley semiconductor: $PbTe$, *Phys. Rev. B* 19 (1979) 5149.
- [19] B.A. Assaf, T. Phupachong, V.V. Volobuev, A. Inhofer, G. Bauer, G. Springholz, L.A. de Vaulchier, Y. Guldner, Massive and massless Dirac fermions in $Pb_{1-x}Sn_xTe$ topological crystalline insulator probed by magneto-optical absorption, *Sci. Rep.* 6 (2016) 20323.
- [20] S.-Q. Shen, *Topological Insulators*, Springer, Berlin, 2012.
- [21] W. Greiner, *Relativistic Quantum Mechanics. Wave Equations*, Springer, Berlin, 2000.
- [22] M. Abramowitz, I.A. Stegun, *Handbook of Mathematical Functions*, Dover, New York, 1972.
- [23] X. Tong, Z.M. Wang, *Core/Shell Quantum Dots: Synthesis, Properties and Devices*, Springer, Berlin, 2020.
- [24] S. Tchoumakov, V. Jouffrey, A. Inhofer, E. Bocquillon, B. Plaçais, D. Carpentier, M. Goerbig, Volkov-pankratov states in topological heterojunctions, *Phys. Rev. B* 96 (2017) 201302.
- [25] A. Díaz-Fernández, L. Chico, F. Domínguez-Adame, Electric control of the bandgap in quantum wells with band-inverted junctions, *J. Phys.: Condens. Matter* 29 (2017) 475301.